PATENT APPLICATION

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q77803

Kenji MURAKAMI, et al.

Appln. No.: 10/680,409

Group Art Unit: 2831

Confirmation No.: 4328

Examiner: Not Yet Assigned

Filed: October 8, 2003

For: MULTI-LAYER CAPACITOR AND METHOD FOR PRODUCING THE SAME

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/680,409

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant submits the following explanations:

US 6,034,864, US 2002/0109958, US 6,370,011, US 6,462,932, US 6,549,395 and US

6,496,354 correspond to JP 11-204372.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Abraham J. Rosner

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WASHINGTON OFFICE

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Date: February 9, 2004

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STATEMENT BY APPLICANT Guse as many sheets as necessary)				•	First Named Inventor	Kenji MURAK	∆M L
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U.S. PATENT DOCUMENTS							
	C'4.	Document Number		Publication Date			
	Cite No.1	Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
		US 6,034,864		03-07-2000	NAITO et al.		
	·	US 2002/0109958	Al	08-15-2002	NAITO et al.		
		US 6,370,011	B1	04-09-2002	NAITO et al.		
	···	US 6,462,932	B1	10-08-2002	NAITO et al.		
		US 6,549,395	B1	04-15-2003	NAITO et al.		
		US 6,496,354	B2	12-17-2002	NAITO et al.		
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FOREIGN PATENT DOCUMENTS								
Examiner Cite Initials* No.1	Fo	reign Patent Docu	ment	Publication Date	Name of Patentee or	Translation ⁶		
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document		
		JP	11-204372		07-30-1999	MURATA MFG CO LTD	Abstract	
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NON PATENT LITERATURE DOCUMENTS					
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
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Examiner Signature		Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.